## **EXHIBIT A**

## **EXHIBIT A**

## Intertrust v. MS: JCCS Claim Chart

U.S. Patent No. 6,253,193, Asserted Claim 1

Г	'193 Claim 1	U.S. Patent No. 6,253,193, Asserted IT Construction	MS Construction
1.	1. A method comprising:	The claim contains no requirement of a VDE.	Claim as a whole: The recited method is performed within a VDE. (See item #86 for Microsoft's construction of VDE.)
2.	file including music,		
3.	storing said digital file in a first secure memory of a first device;	secure: One or more mechanisms are employed to prevent, detect or discourage misuse of or interference with information or processes. Such mechanisms may include concealment, Tamper Resistance, Authentication and access control. Concealment means that it is difficult to read information (for example, programs may be encrypted). Tamper Resistance and Authentication are separately defined (see item #67 and item #27, respectively, below). Access control means that access to information or processes is limited on the basis of authorization. Security is not absolute, but is designed to be sufficient for a particular purpose.	secure: (1) A state in which all users of a system are guaranteed that all information, processes, and devices within the system, shall have their availability, secrecy, integrity, authenticity and nonrepudiation maintained against all of the identified threats thereto.  (2) "Availability" means the property that information is accessible and usable upon demand by authorized persons, at least to the extent that no user may delete the information without authorization.  (3) "Secrecy," also referred to as confidentiality, means the property that information (including computer processes) is not made available or disclosed to unauthorized persons or processes.  (4) "Integrity" means the property that information has not been altered either intentionally or accidentally.  (5) "Authenticity" means the property that the characteristics asserted about a person, device, program, information, or process are genuine and timely, particularly as to identity, data integrity, and origin integrity.  (6) "Nonrepudiation" means the property that a sender of information cannot deny its origination and that a recipient of information cannot deny its receipt.

## **IT Construction MS Construction** '193 Claim 1 secure: see item #3 above secure: see item #3 above storing information associated with said budget: (1) A unique type of budget: Information specifying a digital file in a "method" that specifies a limitation on usage. secure database decrementable numerical limitation stored on said first control: Information and/or on future Use (e.g., copying) of device. programming controlling operations digital information and how such Use said information will be paid for, if at all. on or use of resources (e.g., content) including at least including (a) permitted, required or (2) A "method" is a collection of one budget control prevented operations, (b) the nature basic instructions, and information and or extent of such operations or (c) the related to basic instructions, that provides context, data, requirements, consequences of such operations. and/or relationships for use in performing, and/or preparing to perform, basic instructions in relation to the operation of one or more electronic appliances. control: (1) Independent, specialpurpose, Executable, which can execute only within a Secure Processing Environment (see below). (2) Each VDE Control is a Component Assembly dedicated to a particular activity (e.g., editing, modifying another Control, a userdefined action, etc.), particular user(s), and particular protected information, and whose satisfactory execution is necessary to Allowing (see below) that activity. (3) Each separate information Access (see below) or Use is independently Controlled by independent VDE Control(s). (4) Each VDE Control is assembled within a Secure Processing Environment from independently deliverable modular components (e.g., Load Modules (see below) or other Controls), dynamically in response to an information Access or Use Request. (5) The dynamic assembly of a Control is directed by a "blueprint" Record (see below) (put in place by one or more VDE users) Containing control information identifying the

exact modular code components to be

à-vis other Controls.				
(i.e., Control) this particular activity on this particular information by this particular user(s).  (6) Each Control is independently assembled, loaded and delivered visa-vis other Controls.  (7) Control information and Control are extensible and can be configured and modified by all users with any other VDE control information or Contro (including that provided by other users), subject only to "senior" user Controls.  (8) Users can assign control information (including alternative control information) and Controls to an arbitrarily fine, user-defined portion of the protected information, such as a single paragraph of a document, as opposed to being limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee this such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee this such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee this such information will be accessed and used the associated VDE Controls, and to guarantee the such information will be accessed and used the associated VDE Controls, and to guarantee the such information will be accessed and used the associated VDE Controls, and to guarantee the such information will be accessed and used the associated VDE Controls, and to guarantee the such information will be accessed and used the associated VDE Controls, and to guarantee the such information will be accessed and used the associated VDE Controls, and the guarantee that all requested reporting the accessed and the associated VDE Controls, and the guarantee than an		'193 Claim 1	IT Construction	MS Construction
on this particular information by this particular user(s).  (6) Each Control is independently assembled, loaded and delivered visa-vis other Controls.  (7) Control information and Control are extensible and can be configured and modified by all users, and combined by all users with any other VDE control information or Control (including that provided by other users), subject only to "senior" user Controls.  (8) Users can assign control information (including alternative control information) and Controls to an arbitrarily fine, user-defined portion of the protected information, such as a single paragraph of a document, as opposed to being limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee this such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee that all requested reporting				
particular user(s).  (6) Each Control is independently assembled, loaded and delivered visa-vis other Controls.  (7) Control information and Control are extensible and can be configured and modified by all users, and combined by all users with any other VDE control information or Control (including that provided by other users), subject only to "senior" user Controls.  (8) Users can assign control information (including alternative control information (including alternative control information) and Controls an arbitrarily fine, user-defined portion of the protected information, such as a single paragraph of a document, as opposed to being limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee that all requested reportin, and the guarantee that all requested reporting and a guarantee that all requested reporting and the guarantee that all requested reporting guarantee that all requested reporting and the guarantee that all requested reporting g		·		(i.e., Control) this particular activity
(6) Each Control is independently assembled, loaded and delivered visa-vis other Controls.  (7) Control information and Control are extensible and can be configured and modified by all users, and combined by all users with any other VDE control information or Control (including that provided by other users), subject only to "senior" user Controls.  (8) Users can assign control information (including alternative control information (including alternative control information) and Controls to an arbitrarily fine, user-defined portion of the protected information, such as a single paragraph of a document, as opposed to being limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee that all requested reportin,			•	on this particular information by this
assembled, loaded and delivered visa-vis other Controls.  (7) Control information and Control are extensible and can be configured and modified by all users, and combined by all users with any other VDE control information or Control (including that provided by other users), subject only to "senior" user Controls.  (8) Users can assign control information (including alternative control information) and Controls to an arbitrarily fine, user-defined portion of the protected information, such as a single paragraph of a document, as opposed to being limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee that all requested reportin,	1			particular user(s).
à-vis other Controls.  (7) Control information and Control are extensible and can be configured and modified by all users, and combined by all users with any other VDE control information or Control (including that provided by other users), subject only to "senior" user Controls.  (8) Users can assign control information (including alternative control information) and Controls to an arbitrarily fine, user-defined portion of the protected information, such as a single paragraph of a document, as opposed to being limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee the such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee that all requested reporting guarantee that all requested reporting				(6) Each Control is independently
(7) Control information and Control are extensible and can be configured and modified by all users, and combined by all users with any other VDE control information or Control (including that provided by other users), subject only to "senior" user Controls.  (8) Users can assign control information (including alternative control information (including alternative control information) and Controls to an arbitrarily fine, user-defined portion of the protected information, such as a single paragraph of a document, as opposed to being limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee that all requested reporting guarantee that all requested reporting authorized be the associated VDE Controls, and to guarantee that all requested reporting	l			assembled, loaded and delivered vis-
are extensible and can be configured and modified by all users, and combined by all users with any other VDE control information or Control (including that provided by other users), subject only to "senior" user Controls.  (8) Users can assign control information (including alternative control information) and Controls to an arbitrarily fine, user-defined portion of the protected information, such as a single paragraph of a document, as opposed to being limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and trusted by Europe and the such information will be accessed at Used only as expressly authorized by the associated VDE Controls, and trusted the associated VDE Controls, and the such as the such and the such and the such as the such as the such and the such as the such and the such as the such			· ·	à-vis other Controls.
and modified by all users, and combined by all users with any other VDE control information or Control (including that provided by other users), subject only to "senior" user Controls.  (8) Users can assign control information (including alternative control information) and Controls to an arbitrarily fine, user-defined portion of the protected information, such as a single paragraph of a document, as opposed to being limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee that all requested reportin.				(7) Control information and Controls
and modified by all users, and combined by all users with any other VDE control information or Control (including that provided by other users), subject only to "senior" user Controls.  (8) Users can assign control information (including alternative control information) and Controls to an arbitrarily fine, user-defined portion of the protected information, such as a single paragraph of a document, as opposed to being limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee that all requested reportin.				are extensible and can be configured
combined by all users with any other VDE control information or Control (including that provided by other users), subject only to "senior" user Controls.  (8) Users can assign control information (including alternative control information) and Controls te an arbitrarily fine, user-defined portion of the protected information, such as a single paragraph of a document, as opposed to being limited to file-based controls. (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee that all requested reportin.				<del>_</del>
VDE control information or Control (including that provided by other users), subject only to "senior" user Controls.  (8) Users can assign control information (including alternative control information) and Controls to an arbitrarily fine, user-defined portion of the protected information, such as a single paragraph of a document, as opposed to being limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrey, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee this such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee that all requested reporting	١.	-		
(including that provided by other users), subject only to "senior" user Controls.  (8) Users can assign control information (including alternative control information) and Controls to an arbitrarily fine, user-defined portion of the protected information, such as a single paragraph of a document, as opposed to being limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee that all requested reporting users.			,	
users), subject only to "senior" user Controls.  (8) Users can assign control information (including alternative control information) and Controls to an arbitrarily fine, user-defined portion of the protected information, such as a single paragraph of a document, as opposed to being limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee that all requested reporting				· · · · · · · · · · · · · · · · · · ·
Controls.  (8) Users can assign control information (including alternative control information) and Controls to an arbitrarily fine, user-defined portion of the protected information, such as a single paragraph of a document, as opposed to being limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized be the associated VDE Controls, and to guarantee that all requested reporting the secrecy in the protect of the patent application and the such information will be accessed at Used only as expressly authorized by the associated VDE Controls, and to guarantee that all requested reporting the patent application and the patent applicat				
(8) Users can assign control information (including alternative control information) and Controls to an arbitrarily fine, user-defined portion of the protected information, such as a single paragraph of a document, as opposed to being limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee that all requested reporting				
information (including alternative control information) and Controls to an arbitrarily fine, user-defined portion of the protected information, such as a single paragraph of a document, as opposed to being limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized be the associated VDE Controls, and to guarantee that all requested reporting		1		
control information) and Controls to an arbitrarily fine, user-defined portion of the protected information, such as a single paragraph of a document, as opposed to being limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee that such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee that all requested reporting	1			
an arbitrarily fine, user-defined portion of the protected information, such as a single paragraph of a document, as opposed to being limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee that such information will be accessed at Used only as expressly authorized by the associated VDE Controls, and to guarantee that all requested reporting				
portion of the protected information, such as a single paragraph of a document, as opposed to being limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application as being protected, and to guarantee that such information will be accessed at Used only as expressly authorized by the associated VDE Controls, and to guarantee that all requested reporting				•
such as a single paragraph of a document, as opposed to being limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application as being protected, and to guarantee the such information will be accessed at Used only as expressly authorized by the associated VDE Controls, and to guarantee that all requested reporting		·		
document, as opposed to being limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized by the associated VDE Controls, and to guarantee that all requested reporting	1			
limited to file-based controls.  (9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee that all requested reporting	ļ			
(9) VDE Controls reliably limit Use of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized by the associated VDE Controls, and to guarantee that all requested reporting	l			
of the protected information to only authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized by the associated VDE Controls, and to guarantee that all requested reporting				
authorized activities and amounts.  For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized by the associated VDE Controls, and to guarantee that all requested reporting	ľ			
For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized by the associated VDE Controls, and to guarantee that all requested reporting	Ì		,	
of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee that all requested reporting				authorized activities and amounts.
of "Control," a "Secure Processing Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee that all requested reporting	1			For the numbers of the construction
Environment" is defined as: A Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application as being protected, and to guarantee the such information will be accessed ar Used only as expressly authorized b the associated VDE Controls, and to guarantee that all requested reporting				
Secure Processing Environment is uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized by the associated VDE Controls, and to guarantee that all requested reporting				
uniquely identifiable, self-contained non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized by the associated VDE Controls, and to guarantee that all requested reporting				·
non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee that all requested reporting				
all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee that all requested reporting				
availability, secrecy, integrity and authenticity of all information identified in the patent application a being protected, and to guarantee the such information will be accessed at Used only as expressly authorized b the associated VDE Controls, and to guarantee that all requested reporting				1
authenticity of all information identified in the patent application at being protected, and to guarantee the such information will be accessed at Used only as expressly authorized be the associated VDE Controls, and to guarantee that all requested reporting				<u> </u>
identified in the patent application as being protected, and to guarantee the such information will be accessed as Used only as expressly authorized be the associated VDE Controls, and to guarantee that all requested reporting				
being protected, and to guarantee the such information will be accessed an Used only as expressly authorized be the associated VDE Controls, and to guarantee that all requested reporting	1			
such information will be accessed an Used only as expressly authorized by the associated VDE Controls, and to guarantee that all requested reporting			}	•
Used only as expressly authorized be the associated VDE Controls, and to guarantee that all requested reporting				
the associated VDE Controls, and to guarantee that all requested reporting			1	
guarantee that all requested reporting	1			
guarantee that all requested reporting of and payments for protected				
				guarantee that all requested reporting
information use will be made. A			1	
Secure Processing Environment is		,	1	
formed by, and requires, a Secure				
Processing Unit having a hardware		•		
Tamper Resistant Barrier				
encapsulating a processor and intern			•	encapsulating a processor and internal

<u>'193 Claim 1</u>	IT Construction	MS Construction
		Secure memory. The Tamper Resistant Barrier prevents all unauthorized interference, removal, observation, and other Use of the information and processes within it.
		For the purposes of the construction of "Control," "Allowing" is defined as: Actively permitting an action that otherwise cannot be taken (i.e., is prohibited) by any user, process, or device. In VDE, an action is allowed only through execution (within a Secure Processing Environment) of the VDE Control(s) assigned to the particular action request, and satisfaction of all requirements imposed by such execution.
		For the purposes of the construction of "Control," "Access" is defined as: To satisfactorily perform the steps necessary to obtain something so that it can be Used in some manner (e.g., for information: copied, printed, decrypted, encrypted, saved, modified, observed, or moved, etc.). In VDE, access to protected information is achieved only through
		execution (within a Secure  Processing Environment) of the VDE  Control(s) assigned to the particular  "access" request, satisfaction of all requirements imposed by such execution, and the Controlled opening of the Secure Container  Containing the information.
		For the purposes of the construction of "Control," a "Load Module" is defined as: An Executable, modular unit of machine code (which may include data) suitable for loading into memory for execution by a processor. A load module is encrypted (when not within a secure processing unit) and has an Identifier that a calling process must provide to be able to use the load module. A load module is combinable with other load modules,

	(102 (1) 1	IT Construction	MS Construction
	<u>'193 Claim 1</u>	11 Construction	and associated data, to form Executable Component Assemblies. A load module can execute only in a VDE Protected Processing Environment. Library routines are not load modules and dynamic link libraries are not load modules.
			For the purposes of the construction of "Control," a "Record" is defined as: A data structure that is a collection of fields (elements), each with its own name and type. Unlike an array, whose elements are accessed using an index, the elements of a record are accessed by name. A record can be accessed as a collective unit of elements, or the elements can be accessed individually.
5.	at least one copy control,	copy: To reproduce. The reproduction must be usable, may incorporate all of the original item or only some of it, and may involve some changes to the item as long as the essential nature of the content remains unchanged.  control: see item #4 above	copy: (1) To reproduce all of a Digital File (see below) or other complete physical block of data from one location on a storage medium to another location on the same or different storage medium, leaving the original block of data unchanged, such that two distinct and independent objects exist.  (2) Although the layout of the data values in physical storage may differ from the original, the resulting "copy" is logically indistinguishable from the original.  (3) The resulting "copy" may or may not be encrypted, ephemeral, usable, or accessible.  For the purposes of the construction of "Copy," a "Digital File" is defined as: A named, static unit of
	•		storage allocated by a "file system" and Containing digital information. A digital file enables any application using the "file system" to randomly access its contents and to distinguish it by name from every other such unit. A copy of a digital file is a separate digital file. A "file system" is the portion of the operating system

	<u>'193 Claim 1</u>	IT Construction	MS Construction
6.	said at least one budget control including a budget specifying the number of copies which can be made of said digital file;	budget: see item #4 above  control: see item #4 above  a budget specifying the number of copies which can be made of said digital file: Normal English, incorporating the separately defined terms: a Budget stating the number of copies that can be made of the digital file referred to earlier in the	that translates requests made by application programs for operations on "files" into low-level tasks that can control storage devices such as disk drives.  control: see item #4 above  budget: see item #4 above  control: see item #4 above  a budget specifying the number of copies which can be made of said digital file: A Budget explicitly stating the total number of copies (whether or not decrypted, long-lived, or accessible) that (since creation of the Budget) are authorized to be
		claim.	made of the Digital File by any and all users, devices, and processes. No process, user, or device is able to make another copy of the Digital File once this number of copies has been made.  For the purposes of the construction of this phrase, "Digital File" is defined as set forth in item #5, above.
7.		copy: see item #5 above	copy: see item #5 above
	copy control controlling the copies made of said digital file;	control: see item #4 above  controlling: Normal English: exercising authoritative or dominating influence over; directing.  controlling the copies made of said	control: see item #4 above  controlling: (1) Reliably defining and enforcing the conditions and requirements under which an action that otherwise cannot be taken, will be Allowed, and the manner in which
		digital file: The nature of this operation is further defined in later claim elements. In context, the copy control determines the conditions under which a digital file may be Copied and the copied file stored on a second device.	it may occur. Absent verified satisfaction of those conditions and requirements, the action cannot be taken by any user, process or device.  (2) In VDE, an action is Controlled through execution of the applicable VDE Control(s) within a VDE Secure Processing Environment.  (3) More specifically, in VDE, Controlling is effected by use of VDE Controls, VDE Secure Containers, and VDE foundation

		760.0
<u>'193 Claim 1</u>	<u>IT Construction</u>	MS Constructi n
-		(including VDE Secure Processing Environment, "object registration," and other mechanisms for allegedly individually ensuring that specific Controls are enforced vis-à-vis specific objects (and their content at an arbitrary granular level) and specific "users").
		For the purposes of the construction of "Control (v.)" et al, "Allowed" and "Secure Processing Environment" are defined as set forth in item #4, above.
		controlling the copies made of said digital file: Controlling Uses of and Accesses to all copies of the Digital File, by all users, processes, and devices, by executing each of the recited "at least one" Copy Control(s) within VDE Secure Processing Environment(s). Each Control governs (Controls) only one action, which action may or may not differ among the different "at least one" Controls. All Uses and Accesses are prohibited and incapable of occurring except to the extent Allowed by the "at least one" Copy Control(s).  For the purposes of the construction of this phrase, "Secure Processing Environment," "Access" and "Allowed" are defined as set forth in

	'193 Claim 1	IT Construction	MS Construction
8.	determining whether said digital	copied (copy): see item #5 above	copied (copy): see item #5 above
	file may be copied and stored on a	control: see item #4 above	control: see item #4 above
	second device		
	based on at least		·
	said copy control;	·	
9.		copied (copy): see item #5 above	copied (copy): see item #5 above
	allows at least a		
	portion of said	control: see item #4 above	control: see item #4 above
	digital file to be		
1	copied and stored		·
<u></u>	on a second device,		
10.		copying (copy): see item #5 above	copying (copy): see item #5 above
	portion of said		
	digital file;		
11.	, –		
1	a portion of said		
	digital file to a second device		
	including a memory		·
	and an audio and/or		
	video output;		
12.			
	file in said memory	·	
	of said second		·
	device; and		
13.			
}	said music through		
1	said audio output.		

Patent No. 6,253,193, Asserted C. 11

	'193 Claim 11	IT Construction	MS Construction
14	11. A method	The claim contains no requirement of	Claim as a whole: The recited
14.	comprising:	a VDE.	method is performed within a VDE.
	comprisms.		(See item #86 for Microsoft's
			construction of VDE.)
15.	receiving a digital		·
	file;		'A #2 ah assa
16.		secure: see item #3 above	secure: see item #3 above
	file in a first secure	·	
	memory of a first		
	device;		secure: see item #3 above
17.		secure: see item #3 above	secure: see item #3 above
	associated with	l itam #4 ahaya	control: see item #4 above
	said digital file in a	control: see item #4 above	Control. See hem "4 doore
	secure database		
	stored on said first		
	device, said information		
	including a first control;	· ·	
18.		copied (copy): see item #5 above	copied (copy): see item #5 above
10.	whether said digital	<u> </u>	_
	file may be copied	control: see item #4 above	control: see item #4 above
	and stored on a		
	second device		
	based on said first		
	control, said		·
	determining step		
	including		
	identifying said		
	second device and		
	determining		
	whether,	controls aggisted #4 shave	control: see item #4 above
19.	said first control	control: see item #4 above	Control. See Roll II I accide
	allows transfer of	copied (copy): see item #5 above	copied (copy): see item #5 above
	said copied file to	copied (copy). See item is decre	
	said second device, said determination		
	based at least in		
	part on the features		
	present at the		
	device to which		
	said copied file is		
	to be transferred;		
L	to be transferred,	<u> </u>	

	'193 Claim 11	IT Construction	MS Construction
20.	if said first control	control: see item #4 above	control: see item #4 above
	allows at least a		
	portion of said	copied (copy): see item #5 above	copied (copy): see item #5 above
	digital file to be	!	
	copied and stored		
	on a second device,		
21.		copying (copy): see item #5 above	copying (copy): see item #5 above
	portion of said		
	digital file;		
22.			
	a portion of said		
	digital file to a		
	second device		
	including a		
	memory and an		
	audio and/or video.		
	output;	·	
23.			
	file in said memory		
	of said second		
L_	device; and		
24.	rendering said		
	digital file through		
1	said output.		l

6	m	15

	'193 Claim 15	IT Construction	MS Construction
25.	15. A method	The claim contains no requirement of	Claim as a whole: The recited
	comprising:	a VDE.	method is performed within a VDE.
			(See item #93 for Microsoft's
			construction of VDE.)
26.	receiving a digital		
	file;		
27.	an authentication	authentication: Identifying (e.g., a	authentication: To establish that the
	step comprising:	person, device, organization,	following asserted characteristics of
		document, file, etc.). Includes	something (e.g., a person, device,
		uniquely identifying or identifying as	organization, document, file, etc.) are
		a member of a group.	genuine: its identity, its data
			integrity, (i.e., it has not been altered)
			and its origin integrity (i.e., its source
			and time of origination).
28.	accessing at least	identifier: Information used to	identifier: Any text string used as a
	one identifier	identify something or someone (e.g.,	label naming an individual instance
	associated with a	a password).	of what it <i>Identifies</i> (see below)
	first device or with	In this definition, "identify" means to	For the purpose of the construction of
	a user of said first	establish the identity of or to	"Identifier," "Identify" is defined as:
	device; and	ascertain the origin, nature, or	To establish as being a particular
		definitive characteristics of; includes	instance of a person or thing.
		identifying as an individual or as a	mistance of a person of uning.
		member of a group.	
29.	determining	identifier: see item #28 above	identifier: see item #28 above
	whether said		
	identifier is		
	associated with a		
	device and/or user		
	authorized to store		
	said digital file;	10 1	secural see item #2 share
30.	_	secure: see item #3 above	secure: see item #3 above
	file in a first secure		
	memory of said		
	first device, but		
1	only if said device and/or user is so		•
	and/or user is so authorized, but not		
	proceeding with		
	said storing if said		·
1	device and/or user		
	is not authorized;		
31.		secure: see item #3 above	secure: see item #3 above
	associated with said		
	digital file in a	control: see item #4 above	control: see item #4 above
	secure database		
	stored on said first		
<u> </u>			*·····································

	'193 Claim 15	IT Construction	MS Construction
	device, said		
	information		
	including at least		
	one control;		
32.	determining	copied (copy): see item #5 above	copied (copy): see item #5 above
	whether said digital		
	file may be copied	control: see item #4 above	control: see item #4 above
	and stored on a		
	second device	•	
	based on said at		
	least one control;		
33.	if said at least one	control: see item #4 above	control: see item #4 above
	control allows at		
1	least a portion of	copied (copy): see item #5 above	copied (copy): see item #5 above
1	said digital file to		
	be copied and		·
	stored on a second		
l	device,		45 -1
34.		copying (copy): see item #5 above	copying (copy): see item #5 above
	portion of said		
	digital file;		
35.		i i	
	a portion of said		
	digital file to a		
İ	second device		
	including a memory	·	
1	and an audio and/or		· · · · · · · · · · · · · · · · · · ·
	video output;		
36.	storing said digital		
1	file in said memory		·
1	of said second		
	device; and		
37	rendering said		
	digital file through		
1	said output.		<u> </u>



. Patent No. 6,253,193, Asserted

	-	
	<b>-</b>	10
<u> </u>	m	17

	'193 Claim 19	IT Construction	MS Construction
38.	19. A method comprising:	The claim contains no requirement of a VDE.	Claim as a whole: The recited method is performed within a VDE. (See item #86 for Microsoft's construction of VDE.)
39.	receiving a digital file at a first device;	·	
40.	establishing communication between said first device and a clearinghouse located at a location remote from said first device;	clearinghouse: A provider of financial and/or administrative services for a number of entities; or an entity responsible for the collection, maintenance, and/or distribution of materials, information, licenses, etc.	clearinghouse: (1) A computer system that provides intermediate storing and forwarding services for both content and audit information, and which two or more parties trust to provide its services independently because it is operated under constraint of VDE security. (2) "Audit information" means all information created, stored, or reported in connection with an "auditing" process. "Auditing" means tracking, metering and reporting the usage of particular information or a particular appliance.
41.	said first device obtaining authorization information including a key from said clearinghouse;	clearinghouse: see item #40 above	clearinghouse: see item #40 above
42.	said first device using said authorization information to gain access to or make at least one use of said first digital file, including using said key to decrypt at least a portion of said first digital file; and	use: Normal English: to put into service or apply for a purpose, to employ.	use: (1) To use information is to perform some action on it or with it (e.g., copying, printing, decrypting, encrypting, saving, modifying, observing, or moving, etc.). (2) In VDE, information Use is Allowed only through execution of the applicable VDE Control(s) and satisfaction of all requirements imposed by such execution.  For the purposes of the construction of "Use," "Allowed" is defined as set forth in item #4, above.
43.	control from said	control: see item #4 above	control: see item #4 above
	clearinghouse at said first device;	clearinghouse: see item #40 above	clearinghouse: see item #40 above

	(100 C) 1 10	IT Construction	MS Construction
	<u>'193 Claim 19</u>	IT Construction	<u>IVIS Construction</u>
44.	storing said first	·	
	digital file in a		
	memory of said		
L	first device;		
45.	using said first	control: see item #4 above	control: see item #4 above
	control to		. 17
	determine whether	copied (copy): see item #5 above	copied (copy): see item #5 above
	said first digital file	·	
	may be copied and		
	stored on a second		·
	device;		
46.	if said first control	control: see item #4 above	control: see item #4 above
	allows at least a		
	portion of said first	copied (copy): see item #5 above	copied (copy): see item #5 above
	digital file to be		
1	copied and stored		
	on a second device,		
47.	copying at least a	copying (copy): see item #5 above	copying (copy): see item #5 above
	portion of said first		
	digital file;		
48.	transferring at least	·	
	a portion of said	·	
1	first digital file to a		
•	second device		
	including a		
	memory and an		_
1	audio and/or video		
	output;		
49.	storing said first		
	digital file portion		·
	in said memory of		
	said second device;		
	and		
50.	rendering said first		
	digital file portion		\$
1	through said		
	output.		

6. Patent No. 6,185,683, Asserted

m	2

	'683 Claim 2	IT Construction	MS Construction
51.	2. A system	The claim contains no requirement	Claim as a Whole: The "system" is a
] 31.	including:	of a VDE.	VDE. (See item #86 for Microsoft's
	morading.		construction of VDE.)
52	a first apparatus		
52.	a first apparatus including,		
53.	user controls,	control: see item #4 above	control: see item #4 above
54.	a communications		
34.	port,		
55.	a processor,		
56.	a memory storing:		
57.	a first secure	secure container: A container that is	secure container: (1) A VDE Secure
] "	container	Secure.	Container is a self-contained, self-
	Containe		protecting data structure which (a)
		In this definition, "container" means	encapsulates information of arbitrary
		a digital file containing linked and/or	size, type, format, and organization,
		embedded items.	including other, nested, containers,
1		<u>,                                      </u>	(b) cryptographically protects that
			information from all unauthorized
1			Access and Use, (c) provides
1			encrypted storage management
			functions for that information, such
			as hiding the physical storage location(s) of its protected contents,
			(d) permits the association of itself or
			its contents with <b>Controls</b> and
			control information governing
}			(Controlling) Access to and Use
Ì			thereof, and (e) prevents such Use or
			Access (as opposed to merely
			preventing decryption) until it is
			"opened."
			(2) A Secure Container can be
			opened only as expressly Allowed by
	·	Ì	the associated VDE Control(s), only
1	:		within a Secure Processing
			Environment, and only through
		·	decryption of its encrypted header.
			(3) A Secure Container is not
-			directly accessible to any non-VDE
			or user calling process. All such calls
			are intercepted by VDE.
			(4) The creator of a Secure
			Container can assign (or allow
			others to assign) control information
			to any arbitrary portion of a Secure
		1	Container's contents, or to an empty Secure Container (to govern
1		<u></u>	Secure Container (to govern

		IT C	MCConstantion
<u> </u>	<u>'683 Claim 2</u>	IT Construction	MS Construction
ŀ			(Control) the later addition of
ł			contents to the container, and Access
1			to or Use of those contents).
1.			(5) A container is not a Secure
			Container merely because its
			contents are encrypted and signed. A
		·	Secure Container is itself Secure.
			(6) All VDE-protected information
i			(including protected content,
		•	information about content usage,
}			content-control information,
			Controls, and Load Modules) is
l			encapsulated within a Secure
1			Container whenever stored outside a
l			Secure Processing Environment or
1			secure database.
1			
ł			For the purposes of the construction
]		·	of "Secure Container," "Secure
			Processing Environment," "Load
1			Module," "Access" and "Allow" are
]			defined as set forth in item #4, above.
-		N. I.	
58.		containing: Normal English: having	containing: Physically (directly)
	governed item,	within or holding. In the context of	storing within, as opposed to
		an element contained within a data	addressing (i.e., referring to
		structure (e.g., a secure container),	something by the explicitly identified
		the contained element may be either	location where it is stored, without
		directly within the container or the	directly storing it).
1		container may hold a reference	
		indicating where the element may be	
		found.	
59.		secure container: see item #57 above	secure container: see item #57 above
	container governed		
	item being at least		
]	in part encrypted;		
	the first secure		
	container having		
	been received from		
	a second apparatus;		

	<u>'683 Claim 2</u>	IT Construction	MS Constructi n
60.	a first secure	secure container: see item #57 above	secure container: see item #57 above
	container rule	_	
	at least in part	aspect: Feature, element, property or	aspect: An aspect of an environment
	governing an	state.	is a persistent element or property of
	aspect of access to		that environment that can be used to
	or use of said first	use: see item #42 above	distinguish it from other
	secure container		environments.
	governed item,	·	
1	the first secure		use: see item #42 above
	container rule, the		
	first secure	·	
	container rule		
	having been		
	received from a	,	
	third apparatus		
	different from said		
	second apparatus;		·
	and		
61.	hardware or	secure container: see item #57 above	secure container: see item #57 above
	software used for		
	receiving and	contain (containing): see item #58	contain (containing): see item #58
	opening secure	above	above
1	containers,		
	said secure	·	
	containers each		
	including the	•	
	capacity to contain	•	
	a governed item, a		
	secure container		
	rule being	·	
	associated with		
1	each of said secure		·
	containers;		protected processing environment
62.	a protected	protected processing environment:	protected processing environment: (1) A uniquely identifiable, self-
	processing	An environment in which processing	contained computing base trusted by
1	environment at	and/or data is at least in part	all <b>VDE</b> nodes to protect the
	least in part	protected from tampering. The level	availability, secrecy, integrity and
1	protecting	of protection can vary, depending on the threat.	authenticity of all information
	information	uic uiicat.	identified in the February, 1995,
	contained in said	In this definition, "environment"	patent application as being protected,
	protected		and to guarantee that such
	processing	means capabilities available to a	information will be Accessed and
	environment from	program running on a computer or other device or to the user of a	Used only as expressly authorized by
	tampering by a user	<sup>-</sup>	VDE Controls.
	of said first	computer or other device.	(2) At most VDE nodes, the
	apparatus,	Depending on the context, the	Protected Processing Environment
		environment may be in a single device (e.g., a personal computer) or	is a Secure Processing Environment
			which is formed by, and requires, a
L		may be spread among multiple I AIM CONSTRUCTION STATEMEN	<u> </u>

	<u>'683 Claim 2</u>	IT Construction	MS Construction
		devices (e.g., a network).	hardware Tamper Resistant Barrier
1			encapsulating a special-purpose
		contained (containing): see item #58	Secure Processing Unit having a
		above	processor and internal secure
			memory. "Encapsulated" means
			hidden within an object so that it is
		·	not directly accessible but rather is
	,	,	accessible only through the object's
			restrictive interface.
			(3) The Tamper Resistant Barrier
		·	prevents all unauthorized (intentional
	•		or accidental) interference, removal,
	•		observation, and use of the
			information and processes within it,
		·	by all parties (including all users of
		·	the device in which the Protected
			Processing Environment resides),
			except as expressly authorized by
			VDE Controls.
			(4) A Protected Processing
			Environment is under Control of
			Controls and control information
			provided by one or more parties,
			rather than being under Control of
			the appliance's users or programs.
		•	(5) Where a VDE node is an
		•	established financial Clearinghouse,
			or other such facility employing
			physical facility and user-identity
			Authentication security procedures
			trusted by all VDE nodes, and the
			VDE node does not Access or Use
			VDE-protected information, or
		•	assign VDE control information, then
	:		the Protected Processing
			Environment at that VDE node may
			instead be formed by a general-
			purpose CPU that executes all VDE
			"security" processes in protected
			(privileged) mode.
			(6) A Protected Processing
			Environment requires more than just
			verifying the integrity of <b>Digitally</b>
			Signed Executable programming
			prior to execution of the
			programming; or concealment of the
			program, associated data, and
			execution of the program code; or use
L			of a password as its protection

	(602 CU-1 2	IT Construction	MS Constructi n
	<u>'683 Claim 2</u>	IT Construction	
63.	said protected processing environment including hardware or software used for applying said first secure container rule and a second secure container rule in combination to at least in part govern at least one aspect of access to or use of a governed item contained in a secure container;	protected processing environment: see item #62 above secure container: see item #57 above aspect: see item #60 above use: see item #42 above contained (containing): see item #58 above	mechanism.  For the purposes of the construction of "Protected Processing Environment," "Secure Processing Environment" and "Access" are defined as set forth in item #4, above.  contained (containing): see item #58 above  protected processing environment: see item #62 above  secure container: see item #57 above aspect: see item #60 above  use: see item #42 above  contained (containing): see item #58 above
64.	and hardware or	secure container: see item #57 above	secure container: see item #57 above
04.	software used for	Secure container. See Item #37 above	<u> </u>
	transmission of	·	·
	secure containers		
	to other apparatuses	·	,
]	or for the receipt of		
	secure containers		
	from other		
	apparatuses.		

6. Patent No. 6,157,721, Asserted m 1

	'721 Claim 1	IT Construction	MS Construction
65.		The claim contains no requirement of a VDE.	Claim as a whole: The recited method is performed within a VDE. (See item #86 for Microsoft's construction of VDE.)
66.	digitally signing a first load module with a first digital signature designating the first load module for use by a first device class;	digital signature: A digital value, verifiable with a key, that can be used to determine the source and/or integrity of a signed item (e.g., a file, program, etc.).  Digitally signing is the process of creating a digital signature.  designating: Normal English: indicating, specifying, pointing out or characterizing.  use: see item #42 above  device class: A group of devices which share at least one attribute.	digitally signing: (1) Creating a Digital Signature using a secret Key (see below). (2) In symmetric key cryptography, a "secret key" is a Key that is known only to the sender and recipient. In asymmetric key cryptography, a "secret key" is the private Key of a public/private key pair, in which the two keys are related uniquely by a predetermined mathematical relationship such that it is computationally infeasible to determine one from the other.  For the purposes of the construction of "Digital Signing," a "Key" is defined as: A bit sequence used and needed by a cryptographic algorithm to encrypt a block of plain text or to decrypt a block of cipher text. A key is different from a key seed or other information from which the actual encryption and/or decryption key is constructed, Derived, or otherwise identified. In symmetric key cryptography, the same key is used for both encryption and decryption. In asymmetric or "public key" cryptography, two related keys are used; a block of text encrypted by one of the two keys (e.g., the "public key") can be decrypted only by the corresponding key (e.g., the "private key").
			digital signature: A computationally unforgeable string of characters (e.g., bits) generated by a cryptographic operation on a block of data using some secret. The string can be generated only by an entity that knows the secret, and hence provides

<u></u>	'721 Claim 1	IT Construction	MS Construction
	721 Claim 1	<u> </u>	evidence that the entity must have generated it.
			designating: Designating something for a particular Use means specifying it for and restricting it to that Use.
			use: see item #42 above
			device class: The generic name for a group of device types. For example, all display stations belong to the same device class. A device class is different from a device type. A device type is composed of all
	·		devices that share a common model number or family (e.g. IBM 4331 printers).
67.	digitally signing a	digital signature: see item #66 above	digital signature: see item #66 above
	second load module with a second	designating: see item #66 above	designating: see item #66 above
	digital signature different from the first digital	use: see item #42 above	use: see item #42 above
	signature, the second digital	device class: see item #66 above	device class: see item #66 above
	signature designating the second load module for use by a second device class having at least one of	tamper resistance: Making tampering more difficult and/or allowing detection of tampering.  In this definition, "tampering" means using (e.g., observing or altering) in	tamper resistance: The ability of a Tamper Resistant Barrier to prevent Access, observation, and interference with information or processing encapsulated by the barrier.
	tamper resistance and security level different from the at least one of tamper resistance and security level of the first device class;	digitally signing a second load module with a second digital signature different from the first digital signature, the second digital signature designating the second load module for use by a second device class having at least one of tamper resistance and security level different from the at least one of tamper	For the purposes of the construction of "Tamper Resistance," "Tamper/Tampering" is defined as: Using (e.g., observing or altering) in any unauthorized manner, or interfering with authorized use.  For the purposes of the construction of "Tamper Resistance," "Access" is defined as set forth in item #4, above.  digitally signing a second load module with a second digital
		resistance and security level of the first device class: Normal English, incorporating the separately defined terms: generating a Digital Signature	signature different from the first digital signature, the second digital signature designating the second load module for use by a second device

•		
<u> '721 Claim 1</u>	IT Construction	MS Construction
<u>'721 Claim 1</u>	for the second load module, the Digital Signature Designating that the second load module is for use by a second Device Class. This element further requires that the second Device Class have a different Tamper Resistance or security level than the first Device Class.	class having at least one of tamper resistance and security level different from the at least one of tamper resistance and security level of the first device class: (1) Digitally Signing a different ("second") Load Module by using a different ("second") Digital Signature as the signature Key, which signing indicates to any and all devices in the second Device Class that the signor authorized and restricted this Load Module for Use by that device. (2) No VDE device can perform any execution of any Load Module without such authorization. The method ensures that the Load Module cannot execute in a particular Device Class and ensures that no device in that Device Class has the Key(s) necessary to verify the Digital Signature. (3) All devices in the first Device Class have the same persistent (not just occasional) and identified level of Tamper Resistance and the same persistent and identified level of security. All devices in the second Device Class have the same persistent and identified level of Tamper Resistance and same persistent and identified level of Security. (4) The identified level of Tamper Resistance or identified level of security (or both) for the first Device Class, is greater than or less than the identified level of Tamper Resistance or identified level of security for the second Device Class.
		For the purposes of the construction of this phrase, a "Load Module" is defined as set forth in item #4 and "Key" is defined as set forth in item #66, above.

	'721 Claim 1	IT Construction	MS Construction
68.	distributing the first	use: see item #42 above	use: see item #42 above
	load module for use by at least one device in the first	device class: see item #66 above	device class: see item #66 above
	device class; and	tam #42 shove	use: see item #42 above
69.	distributing the second load module	use: see item #42 above	
	for use by at least one device in the second device	device class: see item #66 above	device class: see item #66 above
1	class.		



s. Patent No. 6,157,721, Asserted

m	34

	'721 Claim 34	IT Construction	MS Construction
70.		The claim contains no requirement of	Claim as a Whole: The "Protected
	processing	a VDE	Processing Environment" is part of
	environment		and within VDE. (See item #86 for
	comprising:	protected processing environment:	Microsoft's construction of VDE.)
	-	see item #62 above	
			protected processing environment:
		"Protected processing environment"	see item #62 above
		appears in the preamble of this claim.	
		InterTrust reserves the right to assert	
		that it should not be defined, other	
		than as requiring the individual claim	
		elements.	
71.	a first tamper	tamper resistant barrier: Hardware	tamper resistant barrier: (1) An active
/1.	resistant barrier	and/or software that provides Tamper	device that encapsulates and separates
1	having a first	Resistance.	a Protected Processing Environment
	security level,		from the rest of the world.
			(2) It prevents information and
			processes within the Protected
1.			Processing Environment from being
			observed, interfered with, and leaving
			except under appropriate conditions ensuring security.
1			(3) It also Controls external access to
			the encapsulated Secure resources,
			processes and information.
			(4) A Tamper Resistant Barrier is
			capable of destroying protected
į			information in response to Tampering
		·	attempts.
			For the purposes of the construction of
			"Tamper Resistant Barrier,"
			"Tamper/Tampering" is defined as set
			forth in item #67, above.
72.	a first secure	secure: see item #3 above	secure: see item #3 above
	execution space,		
	and		<u> </u>

	'721 Claim 34	IT Construction	
73.	at least one arrangement within the first tamper resistant barrier that prevents the first secure execution space from executing the same executable accessed by a second secure execution space having a second tamper resistant	IT Construction  tamper resistant barrier: see item #71 above  secure: see item #3 above  executable: A computer program that can be run, directly or through interpretation.	MS Construction  tamper resistant barrier: see item #71 above  secure: see item #3 above  executable: A cohesive series of machine code instructions in a format that can be loaded into memory and run (executed) by a connected processor.
	barrier with a second security level different from	·	·
	the first security level.		

. Patent No. 5,920,861, Asserted Con 58

	'861 Claim 58	IT Construction	MS Construction
74.	58. A method of	The claim contains no requirement of	Claim as a whole: The recited method
/4.	creating a first	a VDE.	is performed within a VDE. (See item
	secure container,	<b>.</b>	#86 for Microsoft's construction of
	said method	secure container: see item #57 above	VDE.)
	including the		
	following steps;		secure container: see item #57 above
75			
75.	accessing a		
	descriptive data structure, said		
	descriptive data		
	structure including	•	
	· · · · · · · · · · · · · · · · · · ·		
76	or addressing organization	secure container: see item #57 above	secure container: see item #57 above
76.	information at least	Doute Committee.	
	in part describing a		·
1	required or desired		·
	organization of a		
	content section of	· ·	·
	said first secure	·	
	container, and		
77.		secure container: see item #57 above	secure container: see item #57 above
′′′	information at least		<u>'</u>
	in part specifying at		
	least one step	·	
	required or desired		·
1	in creation of said	·	
	first secure		
	container;		
78.		secure container: see item #57 above	secure container: see item #57 above
/	descriptive data		
	structure to organize		
	said first secure		
ĺ	container contents;		
79.		secure container: see item #57 above	secure container: see item #57 above
	information to at		
	least in part		
	determine specific	·	
	information		
	required to be		
	included in said first		
	secure container		
	contents; and		

	'861 Claim 58	IT Construction	MS Constructi n
80.	generating or identifying at least	control (controlling): see item #7	control (controlling): see item #7 above
	one rule designed to control at least one	aspect: see item #60 above	aspect: see item #60 above
	aspect of access to or use of at least a	use: see item #42 above	use: see item #42 above
	portion of said first secure container	secure container: see item #57 above	secure container: see item #57 above
1	contents.		



s. Patent No

lo.	5,982,891,	Asserted	Om:	
	3,702,071,	11000. 100		_

	'891 Claim 1	IT Construction	MS Construction
81.	1. A method for	The claim contains no requirement of a	Claim as a whole: The recited
01.	using at least one	VDE.	method is performed within a VDE.
	resource processed		(See item #86 for Microsoft's
	in a secure	secure: see item #3 above	construction of VDE.)
	operating	boodie.	
	environment at a	•	secure: see item #3 above
1	-		
1	first appliance, said		
	method comprising:	securely (secure): see item #3 above	securely (secure): see item #3 above
82.	securely receiving a	securery (secure). see hem #3 above	Secure (Secure)
	first entity's control	control: see item #4 above	control: see item #4 above
	at said first	control: see itelii #4 above	CONTOI. See Roll II I de l'O
	appliance, said first		
ŀ	entity being located		
	remotely from said		
	operating		
	environment and		
	said first appliance;	. 42 -1	securely (secure): see item #3 above
83.	securely receiving a	securely (secure): see item #3 above	securery (secure). See herr #3 above
	second entity's		control: see item #4 above
	control at said first	control: see item #4 above	control: see item #4 above
	appliance, said		
	second entity being		
	located remotely		
	from said operating		
	environment and		
ļ	said first appliance,		
j	said second entity		
l	being different from		
	said first entity; and		1 ( )
84.		securely (secure): see item #3 above	securely (secure): see item #3 above
1	a data item at said	·	
	first appliance, using		
	at least one resource,		
	including		
85.	securely applying,	securely (secure): see item #3 above	securely (secure): see item #3 above
	at said first		"40 1
1	appliance through	use: see item #42 above	use: see item #42 above
1	use of said at least		
1	one resource said	control: see item #4 above	control: see item #4 above
	first entity's control		
	and said second	securely applying, at said first	securely applying, at said first
	entity's control to	appliance through use of said at least	appliance through use of said at least
	govern use of said	one resource said first entity's control	one resource said first entity's control
	data item.	and said second entity's control to	and said second entity's control to
		govern use of said data item: Normal	govern use of said data item: (1)
		English, incorporating the separately	Processing the resource (component
		defined terms: the first entity's Control	part of a first appliance's Secure
L	I		

<b>'891</b> (	Claim 1	IT Construction	MS Construction
<u>'891 (</u>	Claim 1	and the second entity's Control are Securely applied to govern Use of the data item, the act of Securely applying involving use of the resource.	MS Construction  Operating Environment) within the Secure Operating Environment's special-purpose Secure Processing Unit (SPU) to execute the first Control and second Control in combination within the SPU.  (2) This execution of these Controls governs (Controls) all Use of the data item by all users, processes, and devices.  (3) The processing of the resource and execution of the Controls cannot be observed from outside the SPU and is performed only after the integrity of the resource and Controls is cryptographically verified.  (4) A Secure Processing Unit is a special-purpose unit isolated from the rest of the world in which a hardware Tamper Resistant Barrier encapsulates a processor and internal Secure memory.
			rest of the world in which a hardware  Tamper Resistant Barrier



h: 155

	'900 Claim 155	IT Construction	MS Construction
8	6. 155. A virtual	Virtual Distribution Evironment: This	Claim as a Whole: The "virtual
	distribution	term is contained in the preamble of	distribution environment" is VDE.
l	environment	the claim and should not be defined,	Virtual Distribution Environment:
	comprising	other than as requiring the individual	(1) Data Security and Commerce
		claim elements.	World: InterTrust's February 13,
			1995, patent application described as
ı		Without waiving its position that no	its "invention" a Virtual Distribution
1		separate definition is required, if	Environment ("VDE invention") for
		required to propose such a definition,	securing, administering, and auditing
1		InterTrust proposes the following:	all security and commerce digital
1		secure, distributed electronic	information within its multi-node
		transaction management and rights	world (community). <b>VDE</b> guarantees
		protection system for controlling the	to all VDE "participants" identified in
		distribution and/or other usage of	the patent application that it will limit
		electronically provided and/or stored	all Access to and Use (i.e., interaction)
		information.	of such information to authorized
			activities and amounts, will ensure any
	·	·	requested reporting of and payment
			for such Use, and will maintain the
			availability, secrecy, integrity, non-
			repudiation and authenticity of all
			such information present at any of its
			nodes (including protected content,
			information about content usage, and
			content Controls.).
		·	
			VDE is Secure against at least the
	·		threats identified in the Feburary
			1995, patent application to this
1	·		availability (no user may delete the
			information without authorization), secrecy (neither available nor
			disclosed to unauthorized persons or
			processes), integrity (neither
1			intentional nor accidental alteration),
			non-repudiation (neither the receiver
1			can disavow the receipt of a message
			nor can the sender disavow the
			origination of that message) and
			authenticity (asserted characteristics
			are genuine). VDE further provides
1			and requires the components and
			capabilities described below.
			Anything less than or different than
			this is not <b>VDE</b> or the described
			"invention."
			m.ondon.
	I	1	

'900 Claim 155	IT Construction	MS Construction
		(2) Secure Processing Environment: At each node where VDE-protected information is Accessed, Used, or assigned control information, VDE requires a Secure Processing Environment (as set forth in item #6).
		(3) <u>VDE Controls</u> : <b>VDE Allows</b> Access to or <b>Use</b> of protected information and processes only through execution of (and satisfaction of the requirements imposed by) <b>VDE</b> Control(s).
	·	(4) <u>VDE Secure Container</u> : See construction of <b>Secure Container</b> (see item #57).
		(5) Non-Circumventable: VDE is non-circumventable (sequestered). It intercepts all attempts by any and all users, processes, and devices, to Access or Use, such as observing, interfering with, or removing) protected information, and prevents all such attempts other than as allowed by execution of (and satisfaction of all requirements imposed by) associated VDE Controls within Secure Processing Environment(s).
		(6) Peer to Peer: VDE is peer-to-peer. Each VDE node has the innate ability to perform any role identified in the patent application (e.g., end user, content packager, distributor, Clearinghouse, etc.), and can protect information flowing in any direction between any nodes. VDE is not client-server. It does not predesignate and restrict one or more nodes to act solely as a "server" (a
		provider of information (e.g., authored content, control information, etc.) to other nodes) or "client" (a requestor of such information). All types of protected-content transactions can proceed without requiring interaction with any server.

'900 Claim 155	IT Construction	MS Construction
		(7) Comprehensive Range of Functions: VDE comprehensively governs (Controls) all security and commerce activities identified in the patent application, including (a) metering, budgeting, monitoring, reporting, and auditing information usage, (b) billing and paying for information usage, and (c) negotiating, signing and enforcing contracts that establish users' rights to Access or Use information.
		(8) <u>User-Configurable</u> : The specific protections governing (Controlling) specific VDE-protected information are specified, modified, and negotiated by VDE's users. For example, VDE enables a consumer to place limits on the nature of content that may be Accessed at her node (e.g., no R-rated material) or the amount of money she can spend on viewing certain content, both subject only to other users' senior Controls.
		(9) General Purpose; Universal: VDE is universal as opposed to being limited to or requiring any particular type of appliance, information, or commerce model. It is a single, unified standard and environment within which an unlimited range of electronic rights protection, data security, electronic currency, and banking applications can run.
		(10) Flexible: VDE is more flexible than traditional information security and commerce systems. For example, VDE allows consumers to pay for only the user-defined portion of information that the user actually uses, and to pay only in proportion to any quantifiable VDE event (e.g., for only the number of paragraphs displayed from a book), and allows editing the content in VDE containers while maintaining its security.

			) (S.C
	<u>'900 Claim 155</u>	IT Construction	MS Construction
			For the purposes of the construction of "VDE," "Secure Processing Environment" and "Access" are defined as set forth in item #4, above.
87.	a first host processing environment comprising	host processing environment: This term is explicitly defined in the claim and therefore needs no additional definition. It consists of those elements listed in the claim.  Without waiving its position that no separate definition is required, if required to propose such a definition, InterTrust proposes the following: a Protected Processing Environment incorporating software-based security.	host processing environment: (1) A processing environment within a VDE node which is not a Secure Processing Environment.  (2) A "host processing environment" may either be "secure" or "not secure."  (3) A "secure host processing environment is a self-contained Protected Processing Environment, formed by loaded, Executable programming executing on a general purpose CPU (not a Secure Processing Unit) running in protected (privileged) mode.  (4) A "non-secure host processing environment" is formed by loaded, Executable programming executing on a general purpose CPU (not a Secure Processing Unit) running in user mode.  For the purposes of the construction of "Host Processing Environment," a "Secure Processing Environment" is defined as set forth in item #4, above.
88.	a central processing unit;		
89.	main memory operatively connected to said central processing unit;		·
90.	mass storage operatively connected to said central processing unit and said main memory;		

			3600
	<u>'900 Claim 155</u>	IT Construction	MS Construction
91.	said mass storage storing tamper resistant software designed to be loaded into said main memory and executed by said central processing unit, said tamper resistant software comprising:		
92.		derives: Normal English: obtains,	derives: To retrieve from a specified
72.	programming which derives information from one or more aspects of said host processing environment,	receives or arrives at through a process of reasoning or deduction. In the context of computer operations, the "process of reasoning or deduction" constitutes operations carried out by the computer.	source.
	•	aspect: see item #60 above	aspect: see item #60 above
		host processing environment: see item #87 above	host processing environment: see item #87 above
		derives information from one or more aspects of said host processing environment: Normal English, incorporating the separately defined terms: Derives (including creates) information based on at least one Aspect of the previously referred to Host Processing Environment.	derives information from one or more aspects of said host processing environment: (1) Deriving from the Host Processing Environment hardware one or more values that uniquely and persistently identify the Host Processing Environment and distinguish it from other Host Processing Environments.  (2) The "one or more aspects of said host processing environment" are persistent elements or properties of the Host Processing Environment itself that are capable of being used to distinguish it from other environments, as opposed to, e.g., data or programs stored within the mass storage or main memory, or processes executing within the Host Processing Environment.
93.	one or more storage locations storing said information;		

	'900 Claim 155	IT Construction	MS Construction
94.	integrity programming which causes said machine check programming to derive said information, compares said information to information previously stored in said one or more storage locations, and	derive: see item #92 above  compares: Normal English: examines for the purpose of noting similarities and differences. "Comparison" refers to the act of comparing.	derive: see item #92 above  compares: A processor operation that evaluates two quantities and sets one of three flag conditions as a result of the comparison – greater than, less than, or equal to.
95.	generates an indication based on the result of said comparison; and	comparison (compares): see item #94 above	comparison (compares): see item #94 above
96.			
97.	said one or more actions including at least temporarily halting further processing.		



6. Patent No. 5,917,912, Asserted



	'912 Claim 8	IT Construction	MS Construction
98.	8. A process comprising the following steps:	The claim contains no requirement of a VDE.	Claim as a whole: The recited method is performed within a VDE. (See item #93 for Microsoft's construction of VDE.)
99.	accessing a first record containing information directly or indirectly identifying one or more elements of a first component assembly,	component assembly: Components are code and/or data elements that are independently deliverable. A Component Assembly is two or more components associated together. Component Assemblies are utilized to perform operating system and/or applications tasks.	containing: see item #58 above component assembly: (1) A cohesive Executable component created by a channel which binds or links together two or more independently deliverable Load Modules, and associated data. (2) A Component Assembly is assembled, and executes, only within a VDE Secure Processing Environment. (3) A Component Assembly is assembled dynamically in response to, and to service, a particular content- related activity (e.g., a particular Use request). (4) Each VDE Component Assembly is assigned and dedicated to a particular activity, particular user(s), and particular protected information. (5) Each Component Assembly is independently assembled, loadable and deliverable vis-à-vis other Component Assemblies. (6) The dynamic assembly of a Component Assembly is directed by a "blueprint" Record Containing control information for this particular activity on this particular information by this particular user(s). (7) Component Assemblies are extensible and can be configured and reconfigured (modified) by all users, and combined by all users with other Component Assemblies, subject only to other users' "senior" Controls.  For the purposes of the construction of "Component Assembly," "Load Module," "Secure Processing Environment" and "Record" are
100.	at least one of said elements including at least some	executable programming (executable): see item #73 above	defined as set forth in item #4 above.  executable programming: A cohesive series of machine code instructions, comprising a computer program, in a

(012 Claim 9	IT Construction	MS Construction
<u>'912 Claim 8</u>	11 Construction	
executable programming,		format that can be loaded into memory and run (executed) by a connected processor. A "computer program" is a complete series of definitions and instructions that when executed on a computer will perform a required or requested task.
101. at least one of said elements constituting a load module,		
102. said load module including executable programming and a header;	executable programming (executable): see item #73 above	executable programming: see item #100 above
said header including an execution space identifier identifying at least one aspect of an execution space required for use and/or execution of the load module associated with said header;	identifier: see item #28  aspect: see item #59 above  use: see item #42 above  identifying at least one aspect of an execution space required for use and/or execution of the load module:  Normal English, incorporating the separately defined terms: identifying an Aspect (e.g. security level) of an execution space that is needed in order for the load module to execute or otherwise be used.	aspect: see item #59 above  use: see item #42 above  identifying at least one aspect of an execution space required for use and/or execution of the load module:  (1) Defining fully, without reference to any other information, at least one of the persistent elements or properties (Aspects) (that are capable of being used to distinguish it from other environments of an execution space) that are required for any Use, and/or for any execution, of the Load Module.  (2) An execution space without all of those required aspects is incapable of making any such execution and/or other Use (e.g., Copying, displaying, printing) of the Load Module.  For the purposes of the construction of this phrase, a "Load Module" is defined as set forth in item #4, above

			MC C4:
	'912 Claim 8	IT Construction	MS Construction
104.	said execution	identifier: see item #28	identifier: see item #28
	space identifier	·	
	provides the	•	
	capability for		
	distinguishing		
1	between execution		
	spaces providing a		
	higher level of		
1	security and		
	execution spaces		
	providing a lower		
	level of security;		
105.	using said		
	information to		
	identify and locate		
	said one or more		
	elements;		
106.			
	located one or more		
107	elements;	securely: see item #3 above	securely: see item #3 above
107.	securely assembling said one	securery. See item #3 above	
1	or more elements to	component assembly: see item #98	component assembly: see item #98
	form at least a	above	above
1	portion of said first	200.0	
	component		·
	assembly;		
108.		executable programming (executable):	executable programming: see item
100.	some of said	see item #73 above	#100 above
	executable		
	programming; and		
109.			
	record for validity		
	prior to performing		
	said executing step.		· · ·

. Patent No. 5,917,912, Asserted

ed	n	35

	'912 Claim 35	IT Construction	MS Construction
110.	35. A process comprising the following steps:	The claim contains no requirement of a VDE.	Claim as a whole: The recited method is performed within a VDE. (See item #86 for Microsoft's construction of VDE.)
111.	at a first processing environment receiving a first record from a second processing environment remote from said first processing environment;		
112.	said first record being received in a secure container;	secure container: see item #57 above	secure container: see item #57 above
113.	said first record containing identification information directly or indirectly identifying one or more elements of a first component assembly;	containing: see item #57 above  component assembly: see item #98 above	containing: see item #57 above  component assembly: see item #98 above
114.	at least one of said elements including at least some executable programming;	executable programming (executable): see item #73 above	executable programming: see item #100 above
115.		component assembly: see item #98 above use: see item #42 above	component assembly: see item #98 above  use: see item #42 above
116.	said secure container also including a first of said elements;	secure container: see item #57 above	secure container: see item #57 above
117.	record;		
118.	using said identification information to identify and locate		

		T C	MC Compton
	<u> '912 Claim 35</u>	<u>IT Construction</u>	MS Construction
	said one or more		
	elements;		
119.	said locating step		
	including locating	·	
1	a second of said		
	elements at a third		
	processing		·
1	environment		
	located remotely		
	from said first	·	
	processing		
	environment and		
	said second		e.
	processing		·
	environment;		
120.	accessing said		·
	located one or	!	
	more elements;		· · · · · · · · · · · · · · · · · · ·
121.	said element		
	accessing step		·
	including		
	retrieving said		•
	second element		
	from said third		
	processing environment;		!
122		securely (secure): see item #3 above	securely (secure): see item #3 above
122.	securely assembling said	Securery (secure). See item #3 above	Becarety (seeding).
	one or more	component assembly: see item #98	component assembly: see item #98
	elements to form	above	above
	at least a portion	20070	
	of said first		
·	component		
	assembly		
	specified by said		
	first record; and		·
123.	executing at least	executable programming (executable):	executable programming: see item
****	some of said	see item #73 above	#100 above
	executable		
	programming,		
124.	said executing step		
''	taking place at said		·
	first processing		
	environment.		
L	C. THOMBION.	L	1